

**FORM A**  
**REQUEST FOR ACCESS TO RECORD OF PUBLIC BODY**  
**(Section 18 (1) of the Promotion of Access to Information**  
**Act, 2000**  
**(Act No. 2 of 2000)**  
**[Regulation 2]**

**FOR DEPARTMENTAL USE**

Reference number: \_\_\_\_\_

**Request received**

**by:** \_\_\_\_\_

(state rank, name and surname of information officer/deputy information officer) on  
\_\_\_\_\_ (date) at \_\_\_\_\_ (place).

Request fee (if any): R \_\_\_\_\_

Deposit fee (if any): R \_\_\_\_\_

Access fee: R \_\_\_\_\_

\_\_\_\_\_  
SIGNATURE OF INFORMATION  
OFFICER/DEPUTY  
INFORMATION OFFICER

**A. Particulars of public body**

The Information Officer/Deputy Information Officer: Mr. Memory Mathonsi  
Financial Services Conduct Authority  
Riverwalk Office Park, Block B  
41 Matroosberg Road  
Ashlea Gardens Extension 6 Menlo Park Pretoria  
0081

Telephone: 27124288000  
Fax: 27123470219  
Email: info@fsb.co.za

**B. Particulars of person requesting access to the record**

- *The particulars of the person who requests access to the record must be recorded below.*
- *Furnish an address and/or fax number in the Republic to which information must be sent*
- *Proof of the capacity in which the request is made, if applicable, must be attached.*

**Full names and surname:** South African History Archive (SAHA)  
**Identity/Passport number:** Non-Profit Trust No. 2522/93  
**Postal address:** P.O. Box 31719, Braamfontein, 2017  
**Fax number:** +27866491491  
**Telephone number:** +27117182563  
**E-Mail Address:** foip@saha.org.za

Capacity in which request is made, when made on behalf of another person:

**C. Particulars of person on whose behalf request is made**

*This section must be completed ONLY if a request for information is made on behalf of another person.*

Full names and surname:  
Identity number:

**D. Particulars of record**

- *Provide full particulars of the record to which access is requested, including the reference number if that is known to you, to enable the record to be located.*
- *If the provided space is inadequate please continue on a separate folio and attach it to this form. **The requester must sign all the additional folios.***

- Description of record or relevant part of the record:

Request for all and any records related to the FSB's pension funds cancellation project.

1. Requests for all records or parts of records including all policies, directives, guidelines, orders, meeting minutes or similar records related to the cancellations of pension funds (the 'cancellations project') from 1 January 2007 to date. Please include following records:
  - 1.1. Records related to any internal investigations undertaken by the FSCA/FSB into the conduct of Liberty Corporate in relation to the FSB's pension funds cancellation project between 2007 and 2014;
  - 1.2. Record related to any sanction imposed by the FSCA/FSB on Liberty Corporate for any such conduct;
  - 1.3. Any other reports of any investigations or parts of investigations conducted or procured by the FSCA/FSB or parties contracted by the FSCA/FSB/the registrar of pension funds into conduct of the overall cancellations project between 2007 and 2014.
2. Copies of any and all records, or part of records as follows related to any internal investigations undertaken or procured by the FSCA/FSB into the treatment of the assets of funds, the registrations of which were cancelled in the course of the cancellations project ('deregistered funds'), including:
  - 2.1. Assets allocated to 'suspense accounts' in Liberty's books to which assets of deregistered pension funds were credited in the course of the cancellations project;
  - 2.2. Corporate bonds which had been issued by Liberty to de-registered funds and liquidated pension funds but the proceeds of which were not paid to them before their registrations were cancelled.
3. All records forming part of Jonathan Mort's reports including:
  - 3.1. Each revised version or supplement to Jonathan Mort's First Inspection Report;
  - 3.2. Each revised version and supplement to Jonathan Mort's Second Inspection Report submitted by Jonathan Mort to the FSCA/FSB on or about 21 December 2016, as applicable;

- 3.3. Jonathan Mort's Third Inspection Report submitted by Mort to the FSCA/FSB on or about 6 February 2017 and any revisions of or supplements to it;
- 3.4. Any other reports by Jonathan Mort, Ernst & Young and anyone else on the results of investigations into aspects of the Cancellations Project.
  - Reference number, if available:
  - Any further particulars of record:

**E. Fees**

- *A request for access to a record, other than a record containing personal information about yourself, will be processed only after a request fee has been paid.*
- *You will be notified of the amount required to be paid as the request fee.*
- *The **fee payable for access** to a record depends on the form in which access is required and the reasonable time required to search for and prepare a record.*
- *If you qualify for exemption of the payment of any fee, please state the reason for exemption.*

Reason for exemption from payment of fees:

**F. Form of access to record**

*If you are prevented by a disability to read, view or listen to the record in the form of access provided for in 1 to 4 hereunder, state your disability and indicate in which form the record is required.*

Disability: \_\_\_\_\_  
\_\_\_\_\_

Form in which record is required: \_\_\_\_\_  
\_\_\_\_\_

*Mark the appropriate box with an "X".*

**NOTES:**

- *Your indication as to the required form of access depends on the form in which the record is available.*
- *Access in the form requested may be refused in certain circumstances. In such a*

<i>case you will be informed if access will be granted in another form.</i>							
(c) <i>The fee payable for access to the record, if any, will be determined partly by the form in which access is requested.</i>							
<b>1. If the record is in printed form:</b>							
<input checked="" type="checkbox"/>	Copy of record*			Inspection of record			
<b>2. If record consists of visual images:</b> (this includes photographs, slides, video recordings, computer-generated images, sketches, etc).							
	view the images			copy of the images*		transcription of the images*	
		<input checked="" type="checkbox"/>					
<b>3. If record consists of recorded words or information which can be reproduced in sound:</b>							
	Listen to the soundtrack (audio cassette)	<input checked="" type="checkbox"/>		transcription of soundtrack* (written or printed document)			
<b>4. If record is held on computer or in an electronic or machine ? readable form:</b>							
	Printed copy of record*	<input checked="" type="checkbox"/>	Printed copy derived from the record*		copy in computer readable form*(stiffy or compact disc)		
						YES	NO
* If you requested a copy or transcription of a record (above), do you wish the copy or transcription to be posted to you?							<input checked="" type="checkbox"/>
<b>A postal fee is payable.</b>							
<i>Note that if the record is not available in the language you prefer, access may be granted in the language in which the record is available.</i>							
In which language would you prefer the record? ENGLISH							

- G. Notice of decision regarding request for access**

You will be notified in writing whether your request has been approved/denied. If you wish to be informed thereof in another manner, please specify the manner and provide the necessary particulars to enable compliance with your request.
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How would you prefer to be informed of the decision regarding your request for access to the record?

**IN WRITING** via email to [foip@saha.org.za](mailto:foip@saha.org.za)

Signed at this 20th of October 2018.

A handwritten signature in black ink, consisting of a circular scribble on the left followed by a series of connected, cursive-like strokes.

SIGNATURE OF REQUESTER / PERSON ON WHOSE BEHALF REQUEST IS MADE

Ms. Nobukhosi Zulu (FOIP Coordinator)

South African History Archive (SAHA)